



# UNOPS Anti-Bribery and Corruption Policy

Briefing to the Executive Board

12 December 2023

**BUILD  
THE FUTURE**

# UNOPS Anti-Bribery and Corruption Policy

## Purpose

- Recognized need and KPMG recommendation to have a dedicated policy in place

## Approach taken

- UNOPS already has many elements of a bribery and corruption framework in place
- Policy builds on UN Framework on Fraud and Corruption
- Intended to sit above UNOPS policy on fraud and corruption
- Complements and consolidates other UNOPS policies like gifts and entertainment, outside activities, political activities

# UNOPS Anti-Bribery and Corruption Policy

## Key elements

- UNOPS operates in many challenging and high risk jurisdictions
- This policy consequently provides simple and easy to understand guidance on:
  - Expectations around anti-bribery (not covered explicitly previously) and corruption
  - High risk scenarios such as:
    - Dealings with public officials
    - Engagement with business partners
    - Facilitation Payments
    - Gifts & Entertainment
    - Hiring Practices
  - Clear zero-tolerance statements
  - Deals with escalation channels and raising concerns
  - Role of Risk and Compliance Group to provide advice, training and monitoring
- Scope covers all UNOPS personnel, agents, suppliers, business partners and all regions, business units and funds, hosted entities

# UNOPS Anti-Bribery and Corruption Framework Roll-out

## Roll-out

- Policy to go live in March 2024 with communication messages
- Engagement with Regional Leadership teams and functional stakeholders in Q1 2024
- Engagement on project approval processes with Engagement Acceptance Committee
- Portfolio risk assessment - coordination with Risk
- Mandatory ethics training already has bribery scenarios
- Roll out training and support to high risk staff (e.g procurement, regional leadership)
- Continue to roll out due diligence enhancements
- Ability of Risk and Compliance Group and other functions (e.g. Legal Group) to provide advice and support
- Develop monitoring and reporting

NB: We may see more escalated cases as the framework is rolled out.

## Next Steps

- Continued development of compliance function within UNOPS
- Development of compliance advice and monitoring/reporting
- Compliance risk identification and assessment process
- Focus in 2024 on third party management (due diligence and anti-bribery and corruption)