

Audit and advisory reports issued in 2021

Table 1: Audit and advisory reports

No.	Reference	Region ¹	Audited area	Number of recommendations		Report conclusion / rating ²
				Total	High priority	
Country Office audits and advisory reports						
1.	IAIG/11001	AR	Internal audit of UNOPS Thailand Multi Country Office	13	3	Partially satisfactory (some improvements needed)
2.	IAIG/11002	LCR	Physical inspection of UNOPS Haiti Operations Centre	4	1	Not applicable
3.	IAIG/11004	ECR	Special scope assignment - RBM Partnership to End Malaria	8	4	Not applicable
4.	IAIG/11005	AFR	Internal audit of UNOPS South Sudan Country Office	20	10	Unsatisfactory (ineffective)
5.	IAIG/11007	AFR	Internal audit of UNOPS Somalia Country Office	17	12	Unsatisfactory (ineffective)
6.	IAIG/11008	LCR	Internal audit of project 22731-001 “Project for acquisition of medicines in Mexico” (Pharma MX)	18	8	Partially satisfactory (major improvements needed)
7.	IAIG/11010	AFR	Technical audit of ZEAT BEAD feeder roads project in South Sudan	None	None	Not applicable
8.	IAIG/11102	LCR	Q1 2021 Risk assessment and audit strategy for project 22731-001 “Project for acquisition of medicines in Mexico” (Pharma MX)	None	None	Not applicable
9.	IAIG/11105	AR	Review of UNOPS Afghanistan Country Office	None	None	Not applicable
10.	IAIG/11106	LCR	Advisory report: 2021 review of project 22731-001“Project for acquisition of medicines in Mexico” (Pharma MX)	None	None	Not applicable

¹ AFR stands for Africa Region; AR: Asia Region; ECR: Europe & Central Asia Region; LCR: Latin America & Caribbean Region; NYSC: New York Service Cluster. There were no engagements in the Middle East Region. Regions are defined according to the UNOPS global structure that can be found on the [UNOPS website](#).

² A detailed explanation of audit ratings can be found on the [UNOPS external website](#).

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11.	IAIG/11108	LCR	Q3 2021 Risk assessment and audit strategy for project 22731-001 "Project for acquisition of medicines in Mexico" (Pharma MX)	None	None	Not applicable
Headquarters audits and advisory reports						
12.	IAIG/11003	HQ	UNOPS external investments confirmation as at 31 December 2020	None	None	Balances were reconciled and confirmed by IAIG.
13.	IAIG/11006	HQ	UNOPS external investments confirmation as at 30 June 2021	None	None	Balances were reconciled and confirmed by IAIG.
14.	IAIG/11009	HQ	UNOPS SWIFT security assessment	6	None	UNOPS complies with the SWIFT mandatory controls.
15.	IAIG/11103	HQ	IAIG self-assessment of its data analytics	None	None	Not applicable
Thematic area audits and advisory reports						
16.	IAIG/10103	UNOPS	UNOPS travel management review	9	6	Not applicable
17.	IAIG/11101	HQ	Root cause analysis report - identification of solutions	None ³	None	Not applicable
Total				95	44	

³ While there were 51 solutions communicated to management in the final report, these are not formally tracked since the engagement was an advisory.

Table 2: Project audits including forensic audits

No.	Reference	Region	Audited project	Project audit scope and rating			
				Financial statement ⁴	Internal controls		
					Rating	Number of recommendations	
						Total	High priority
1.	IAIG/11201	AR	Audit of project "GFATM-PR-RAI2E-Myanmar"; Project ID 20864-003-10	Unmodified	Satisfactory	None	None
2.	IAIG/11202	AR	Audit of project "Regional artemisinin initiative 2 elimination (RAI2E)"; Project ID 20864-003, work package 20864-003- 17	Unmodified	Satisfactory	None	None
3.	IAIG/11203	AFR	Audit of project "Intégré de croissance agricole dans les Grands Lacs, PICAGL"; Project ID 20048-001	Unmodified	Satisfactory	1	1
4.	IAIG/11204	AFR	Audit of project "Projet d'appui à la réhabilitation et à la relance du secteur agricole, PARRSA FA"; Project ID 21235-001	Unmodified	Partially satisfactory (some improvement needed)	4	1
5.	IAIG/11205	NYSC	Financial audit and internal control snapshot of the GEF Small Grants Programmes; Project ID 20598-002 (Egypt)	Unmodified	Rating not applicable	7	1
6.	IAIG/11206	NYSC	Financial audit and internal control snapshot of the GEF Small Grants Programmes; Project ID 20346-001 (Peru)	Unmodified	Rating not applicable	7	None
7.	IAIG/11207	AR	Audit of project "Improving quality of learning through provision of sustainable renewable energy solutions in primary and middle schools in selected districts of Khyber Pakhtunkhwa Province, Pakistan"; Project ID 20114-001	Unmodified	Not in scope	N/A	N/A
8.	IAIG/11208	ECR	Audit of project "Support to scaling up nutrition"; Project ID 97065	Unmodified	Not in scope	N/A	N/A
9.	IAIG/11209	AFR	Audit of project "South Sudan safety net project (SSSNP)"; Project ID 21691-001	Unmodified	Not in scope	N/A	N/A
10.	IAIG/11210	ECR	Audit of project "Support of the Kingdom of Norway to the Ministry of Interior of the Republic of Serbia"; Project ID 21001-001	Unmodified	Not in scope	N/A	N/A
11.	IAIG/11211	AR	Audit of project "Rural access improvement project phase IV – RAIP IV"; Project ID 11809-001	Unmodified	Not in scope	N/A	N/A

⁴ A 'modified' audit opinion on the project financial statement is for the purpose of establishing the overall audit opinion considered an 'unsatisfactory' audit rating and an 'unmodified' audit opinion is considered a 'satisfactory' audit rating.

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12.	IAIG/11212	NYSC	Audit of project "Explosive hazard mitigation in areas liberated from Da'esh, Iraq, 2019 VTF EU DEVCO"; Project ID 21305-001	Unmodified	Satisfactory	None	None
13.	IAIG/11213	AFR	Audit of project "UKFCO Somali national armed forces stipend payments project"; Project ID 21493-001	Unmodified	Partially satisfactory (some improvement needed)	3	None
14.	IAIG/11214	AR	Audit of project "Eastern States agribusiness project (ESAP)"; Project ID 20936-001	Unmodified	Not in scope	N/A	N/A
15.	IAIG/11215	AFR	Audit of project "Projet de connectivité rurale dans la zone nord-est de la République Centrafricaine à Ndele-Birao (PCR)"; Project ID 20967-001	Unmodified	Not in scope	N/A	N/A
16.	IAIG/11216	AFR	Audit of project "Projet d'appui aux communautés affectées par le déplacement (PACAD)"; Project ID 20960-001	Unmodified	Not in scope	N/A	N/A
17.	IAIG/11217	AR	Audit of project "Modernization and improvement of policing project"; Project ID 93090	Unmodified	Satisfactory	None	None
18.	IAIG/11218	AR	Audit of project "GFATM-PR-TB-Myanmar"; Project ID 20864-002	Unmodified	Satisfactory	None	None
19.	IAIG/11219	AR	Audit of project "GFATM-PR-HIV-Myanmar"; Project ID 20864-001	Unmodified	Satisfactory	None	None
20.	IAIG/11220	AR	Audit of project "Access to health fund"; Project ID 10636-017	Unmodified	Satisfactory	None	None
21.	IAIG/11221	AR	Audit of project "Joint peace fund (JPF)"; Project ID 97408	Unmodified	Satisfactory	None	None
22.	IAIG/11222	AR	Audit of project "GFATM-PR-RAI2E-REGIONAL"; Project ID 20864-003, workpackage 20864-003-17 Cambodia	Unmodified	Satisfactory	None	None
23.	IAIG/11223	AR	Audit of project "GFATM-PR-RAI2E- Myanmar"; Project ID 20864-003-10	Unmodified	Satisfactory	None	None
24.	IAIG/11224	AR	Audit of project "GFATM-PR-REG TB Myanmar"; Project ID 20864-004, work package 20864-004-06	Unmodified	Satisfactory	None	None
25.	IAIG/11225	AFR	Audit of project "South Sudan enhancing community resilience and local governance project"; Project ID 21775-001	Unmodified	Satisfactory	1	None
26.	IAIG/11226	AR	Audit of project "Livelihood and food security trust fund (LIFT)"; Project ID 70927	Unmodified	Satisfactory	2	None
27.	IAIG/11227	ECR	Audit of project "Norwegian support to the Western Balkans development - Norway for You"; Project ID 21319-001	Unmodified	Not in scope	N/A	N/A

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28.	IAIG/11228	AFR	Audit of project "Intégré de croissance agricole dans les Grands Lacs (PICAGL)"; Project ID 20048-001	Unmodified	Not in scope	N/A	N/A
29.	IAIG/11229	AR	Audit of project "Strengthening humanitarian preparedness and response programme (SHPR)"; Project ID 20135-001	Unmodified	Not in scope	N/A	N/A
30.	IAIG/11230	AR	Audit of project "ARV procurement for ministry of health and sports (HIV)"; Project ID 21262-002	Unmodified	Satisfactory	1	None
31.	IAIG/11231	AR	Audit of project "ARV procurement for Ministry of Health and Sports (HIV) 2019"; Project ID 21262-004	Unmodified	Satisfactory	1	None
32.	IAIG/11232	AR	Audit of project "First line anti-TB drugs procurement 2019"; Project ID 21262-003	Unmodified	Satisfactory	None	None
33.	IAIG/11301	N/A	Forensic audit	Not in scope	Not in scope	N/A	N/A
34.	IAIG/11302	N/A	Forensic audit	Not in scope	Not in scope	N/A	N/A
35.	IAIG/11303	N/A	Forensic audit	Not in scope	Not in scope	N/A	N/A
36.	IAIG/11304	N/A	Forensic audit	Not in scope	Not in scope	N/A	N/A
Total						27	3