

**Reports issued by the Internal Audit and Investigations Group in 2016 that resulted in findings of misconduct**

	Case no.	Region	Type of wrongdoing	Allegations	Loss (USD)	Number of personnel referred to HRLO	Action taken
1	2015/10	North America	Fraud and financial irregularity	A consultant cheated on a recruitment exam.	None	1	The case is currently pending with the HRLO.
2	2015/22	Europe	Fraud and financial irregularity	Two vendors fraudulently obtained a loan from UNOPS and misappropriated funds.	<b>85,000</b>	0	The VRC permanently debarred the vendors and its principals. IAIG's referral to the national authorities and for restitution is still pending.
3	2015/33	Africa	Fraud and financial irregularity	A vendor submitted a fake bank guarantee.	None	0	The VRC debarred the vendor for five years.
4	2015/37	Middle East	Harassment/ abuse of authority	A consultant sexually exploited a refugee.	None	1	The case is currently pending with the UNHCR Legal Office for disciplinary action.
5	2015/39	Africa	Fraud and financial irregularity	A vendor bribed a consultant during a procurement exercise. A second consultant failed to disclose a conflict of interest with a different UNOPS vendor.	<b>4,000</b>	2	The HRLO placed notes in the consultants' personnel files stating that they would have been charged with misconduct if they had not already separated from UNOPS on other grounds. The VRC debarred the first vendor for five years and censured the second vendor.
6	2015/40	Africa	Fraud and financial irregularity	Six vendors colluded in a procurement exercise.	None	0	The case is currently pending with the VRC.

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	Case no.	Region	Type of wrongdoing	Allegations	Loss (USD)	Number of personnel referred to HRLO	Action taken
7	2015/43	Africa	Fraud and financial irregularity	Seven grantees misspent project funds.	<b>122,071</b>	0	The case is currently pending with the VRC. In addition, the matter was referred to another UN agency for consideration of action against one of its consultants involved with the project.
8	2015/45	Africa	Fraud and financial irregularity	Six vendors colluded in a scheme to defraud UNOPS in several procurement exercises. Four also submitted forged documents.	None	0	The case is currently pending with the VRC.
9	2015/46	Europe	Fraud and financial irregularity	A former consultant cheated on a recruitment exam.	None	1	The HRLO placed a note in the consultant's personnel file stating that s/he should not be rehired for six months and that special measures should be taken during any future recruitment testing.
10	2015/53	Africa	Fraud and financial irregularity	A vendor forged an official UNOPS document.	None	0	The VRC censured the vendor.
11	2015/55	Africa	Fraud and financial irregularity	Two candidates cheated on a recruitment exam.	None	2	The HRLO placed notes in their personnel files stating that they should not be hired for six months and that special measures should be taken during any future recruitment testing.
12	2016/01	North America	Fraud and financial irregularity	A consultant cheated on a recruitment exam.	None	1	The case is currently pending with the HRLO.
13	2016/03	Africa	Fraud and financial irregularity	Four vendors colluded with one another and submitted forged documents.	None	0	The case is currently pending with the VRC.

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14	2016/04	Europe	Harassment/ abuse of authority	One staff member and one consultant engaged in recruitment fraud when favouring a specific candidate. The staff member also abused their authority by having the selected candidate perform personal errands for them. The same two individuals retaliated against another UNOPS consultant and abused their authority.	<b>81,748</b>	2	The Ethics Office accepted IAIG's findings regarding retaliation and referred the matter to the HRLO. The consultant's contract was terminated. The case against the staff member is currently pending with the HRLO.
15	2016/06	Africa	Fraud and financial irregularity	A vendor colluded with a UNOPS consultant to defraud UNOPS in the implementation of a contract.	<b>50,000</b>	1	The case against the vendor is currently pending with the VRC. The HRLO referred the matter to another UN agency where the subject currently works. IAIG's referral for restitution is still pending.
16	2016/10	Africa	External compliance (medical fraud)	A consultant submitted fraudulent medical insurance claims.	None (attempted USD 1,286)	1	The case is currently pending with the HRLO.
17	2016/11	Africa	External compliance (medical fraud)	A consultant submitted fraudulent medical insurance claims.	None (attempted USD 340)	1	The HRLO referred the matter to another UN agency where the subject currently works. In addition, the HRLO placed a note in the individual's personnel file stating that had s/he not already left UNOPS, his contract would have been terminated for misconduct.

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	Case no.	Region	Type of wrongdoing	Allegations	Loss (USD)	Number of personnel referred to HRLO	Action taken
18	2016/14	Asia	Fraud and financial irregularity	A vendor supplied a fraudulent document that two other vendors recklessly relied upon without verifying its authenticity.	None	0	The VRC censured the three vendors.
19	2016/19	Europe	Other	A staff member failed to cooperate with an IAIG investigation.	None	1	The case is currently pending with the HRLO.
20	2016/20	Europe	Harassment	A consultant used derogatory and abusive language in emails.	None	1	The former consultant had already resigned due to another case (2016/04).
21	2016/22	Africa	Fraud and financial irregularity	A consultant cheated on a recruitment exam.	None	1	The case is currently pending with the HRLO.
22	2016/24	Africa	Fraud and financial irregularity	A consultant misrepresented information in a job application.	None	1	The case is currently pending with the HRLO
23	2016/30	Africa	Fraud and financial irregularity	A vendor defrauded UNOPS in two procurement exercises when its owner (who did not work for UNOPS) participated in the processes.	None	0	The case is currently pending with the VRC.
24	2016/33	Asia	Fraud and financial irregularity	A vendor forged an official UNOPS document.	None	0	The case is currently pending with the VRC.
25	2016/34 (Part I)	Africa	Fraud and financial irregularity	A consultant defrauded UNOPS by overseeing work done by a company s/he owned.	None	1	The case is currently pending with the HRLO.
26	2016/36	Africa	Fraud and financial irregularity	Two consultants stole fuel.	<b>5,767</b>	2	The two consultants' contracts were terminated.

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27	2016/37	Africa	Fraud and financial irregularity	A consultant forged an official UNOPS document.	None	1	The consultant's contract was terminated.
28	2016/47	Middle East	External compliance (medical fraud)	A consultant submitted fraudulent medical insurance claims	<b>696</b>	1	The case is currently pending with the HRLO.
29	2016/57	Africa	Fraud and financial irregularity	A consultant forged an official UNOPS document.	None	1	The case is currently pending with the HRLO.
				<b>TOTAL</b>	<b>349,282</b>	<b>23</b>	