

Internal Audit and Investigations Group  
**IAIG Activity Report for 2015**
**Annex 4**

**Reports issued by the Internal Audit and Investigations Group (IAIG) in 2015**  
**relating to preliminary assessments or investigations which resulted in a finding of misconduct**

Item	IAIG Case No.	Region	Type of Wrongdoing	Description of basic allegations	Estimated Loss to UNOPS	Number of personnel disciplined	Action Taken
1	2013/21	Africa	Fraud and financial irregularity	A UNOPS engineer retaliated for refusing to sign fake invoices for works that had not yet been completed.	<b>None</b>	1	Subject referred to HRLO. The case is currently pending.
2	2013/26	Europe	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	<b>None</b> (USD 5,425 attempted)	1	Subject referred to HRLO. S/m charged with misconduct. Contract termination.
3	2013/29	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	<b>None</b> (USD 903 attempted)	1	Subject referred to HRLO. S/m was informed that he would have been charged with misconduct had he not already been separated from service for other reasons.
4	2013/43	Asia and the Pacific	External compliance (medical fraud)	Consultants submitted fraudulent medical insurance claims.	<b>USD 9,056</b>	26	Referral to HRLO & national authorities. Two personnel members were terminated. Twenty-four s/m's were informed that they would have been charged with misconduct had they not already been separated from service for other reasons.
5	2014/8	Africa	Fraud and financial irregularity	The vendor submitted a fake bank guarantee. The consultant was not truthful to the IAIG investigators.	<b>None</b>	1	S/m was informed that he would have been charged with misconduct had he not already been separated from service for other reasons.  Vendor and its principal referred to VRC and debarred for three years.  Referred to management with recommendations.
6	2014/18	Africa	Harassment/Abuse of authority	Consultant sexually harassed his female colleagues and created a hostile and offensive work environment.	<b>None</b>	1	Subject referred to HRLO. The case is currently pending.
7	2014/28	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	<b>None</b> (USD 2,081 attempted)	1	Subject referred to HRLO. S/m charged with misconduct. Contract termination.

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8	2014/30	Africa	Fraud and financial irregularity	Consultant misused project funds. The vendors failed to cooperate with IAIG investigation.	<b>Unknown</b>	0	Vendors referred to VRC. Written censure. Matter referred to UNDP as the subject is employed on a service contract with UNDP.
9	2014/46	Middle East	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	<b>USD 1,200</b>	1	Subject referred to HRLO. S/m charged with misconduct. Contract termination.
10	2014/47	Latin America	Fraud and financial irregularity	The grantee misspent project funds and submitted forged invoices in an attempt to conceal the fraud.	<b>USD 8,600</b>	0	Vendor referred to VRC and debarred for five years.
11	2014/51	Africa	External compliance	Action bringing UN into disrepute, unauthorized use of funds, making false statements to investigators.	<b>None</b>	2	Subjects referred to HRLO. One subject was terminated and another was demoted.
12	2014/52	Asia and the Pacific	Fraud and financial irregularity	The consultants solicited a bribe.	<b>None</b>	2	Two subjects referred to HRLO recommending that letters be placed in their personnel files. S/m's were informed that they would have been charged with misconduct had they not already been separated from service for other reasons.
13	2014/53	Africa	External compliance	Consultant used obscene language. Another one physically assaulted his colleague.	<b>None</b>	2	Two subjects referred to HRLO. One was demoted and the other one was that he would have been charged with misconduct had he not already been separated from service for other reasons.
14	2014/54	Europe	External compliance (medical fraud)	Personnel member submitted fraudulent medical insurance claims.	<b>None</b> (USD 137 attempted)	1	HRLO closed the case without further action- the collected evidence did not meet the standard of evidence required by UN Appeals Tribunal.
15	2015/01	Africa	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	<b>None</b> (USD 9,540 attempted)	1	Subject referred to HRLO. S/m was informed that he would have been charged with misconduct had he not already been separated from service for other reasons.
16	2015/03	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	<b>None</b> (USD 1,315 attempted)	1	Subject referred to HRLO. S/m charged with misconduct. Contract termination.

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17	2015/07	Africa	Other	Breach of confidentiality.	None	1	Subject referred to HRLO. S/m charged with misconduct. Written censure.
18	2015/09	Middle East	Fraud and financial irregularity	Consultant falsified work data. Violation of UNHCR rules and procedures.	None	1	Subject referred to HRLO. The case is currently pending.
19	2015/12	Middle East	External compliance (Violation of local laws)	Violation of local laws. Consultant used his private vehicle as a taxi and used forged registration plates.	None	1	Subject referred to HRLO. The case is currently pending.
20	2015/13	Africa	Fraud and financial irregularity	Consultant misappropriated relief material from a UNHCR warehouse.	Unknown (UNHCR property)	1	Subject referred to HRLO. The case is currently pending.
21	2015/16	Africa	Fraud and financial irregularity	Consultant misappropriated payment intended for a UNOPS vendor.	USD 8,233	1	Subject referred to HRLO recommending referral to national authorities. The case is currently pending.
22	2015/21	Asia and the Pacific	Fraud and financial irregularity	The vendor attempted to bribe a UNOPS employee.	None	0	Vendor referred to VRC and debarred for one year.
23	2015/26	Africa	Harassment/A buse of authority	Consultant sexually exploited and assaulted a refugee. Consultant did not declare a potential conflict of interest.	None	1	Subject referred to HRLO. The case is currently pending.
24	2015/30	Africa	Fraud and financial irregularity	Absence without authorization and misuse of official vehicle.	Unknown	5	Two subjects were informed that they would have been charged with misconduct had they not already been separated from service for other reasons. Three others were exonerated.
25	2015/31	Africa	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	None (USD 5,024 attempted)	1	Subject referred to HRLO. S/m charged with misconduct. Contract termination.
26	2015/32	Africa	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	None (USD 9,420 attempted)	1	Subject referred to HRLO. S/m charged with misconduct. Contract termination.
27	2015/35	Latin America	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	USD 16,084 (USD 16,084 reimbursed)	4	Four subjects referred to HRLO and charged with misconduct. Three s/m's were terminated. The case is pending for the fourth s/m.

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28	2015/41	Africa	Harassment/Abuse of authority	Consultant threatened UNOPS employees.	None	1	Subject referred to HRLO. S/m charged with misconduct. Contract termination.
29	2015/47	Latin America	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	USD 1,005 (USD 1,005 reimbursed)	1	Subject referred to HRLO. S/m charged with misconduct. Contract termination.
30	2015/48	Latin America	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	USD 1,636 (USD 1,636 reimbursed)	1	Subject referred to HRLO. S/m charged with misconduct. Case pending.
31	2015/49	Latin America	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	USD 7,313 (USD 7,313 reimbursed)	1	Subject referred to HRLO. S/m charged with misconduct. Case pending.
32	2015/50	Africa	External compliance-Violation of local laws	Possession of illegal substances in violation of local laws.	None	1	Subject referred to HRLO. S/m charged with misconduct. Written censure.
33	2015/51	Latin America	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims.	USD 2,214 (USD 2,214 reimbursed)	1	Subject referred to HRLO. S/m charged with misconduct. Case pending.
34	2015/54	Africa	Fraud and financial irregularity	Theft of office assets	USD 21,850	0	Allegations were not substantiated but case was referred to management with recommendations.
				<b>TOTAL</b>	<b>USD 77,191</b> (USD 28,252 reimbursed)	<b>65</b>	