

Internal Audit and Investigations Group
IAIG Activity Report for 2014

Annex 4

**Reports issued by the Internal Audit and Investigation Group (IAIG) in 2014
relating to preliminary assessments or investigations which resulted in a finding of misconduct**

Item	IAIG Case No.	Region	Type of Wrongdoing	Description of basic allegations	Estimated Loss to UNOPS	Action Taken
1	2530	Africa	Fraud and financial irregularity (vendor collusion)	Fraudulent bidding by vendors	Unknown	Vendors referred to VRC.
2	2553	Africa	Fraud and financial irregularity (vendor collusion)	Submission of fraudulent receipts and attempted bribery	USD 19,230	Vendors referred to VRC.
3	2013/6	Asia and the Pacific	Fraud and financial irregularity	Violation of UN Rules regarding pensions	None (Loss to UNJSPF)	Subjects referred to HRLO. Two of the subjects were informed that they would have been reprimanded had they not already been separated from service. Subjects to refund UNJSPF.
4	2013/9	Asia and the Pacific	Fraud and financial irregularity	Consultant was allegedly corrupted and colluded with vendors	Unknown	Subject referred to HRLO. Note placed in HR file of former ICA stating that he refused to cooperate with IAIG. The matter was referred to management.
5	2013/15	Middle East	Harassment/Abuse of authority	Consultant abused his authority and harassed refugees.	No financial loss	Subject was referred to agency where staff member was at the time employed.
6	2013/20	Africa	Harassment	Hostile work environment	No financial loss	S/m charged with misconduct and demoted. S/m resigned.
7	2013/27	Africa	Fraud and financial irregularity	Theft and forgery	USD 265	S/m was informed that he would have been charged with misconduct had he not already been separated from service.
8	2013/28	Asia and the Pacific	External compliance (medical insurance fraud)	Consultant submitted fraudulent medical insurance claims	None (attempted: USD 103)	S/m was informed that he would have been charged with misconduct had he not already been separated from service.
9	2013/30	Asia and the Pacific	External compliance (medical insurance fraud)	Consultant submitted fraudulent medical insurance claims	USD 17,465 (attempted: 20,411)	Subject referred to HRLO. S/m charged with misconduct. Contract termination.
10	2013/31	Asia and the Pacific	External compliance (medical insurance fraud)	Consultant submitted fraudulent medical insurance claims	None (attempted: USD 555)	Subject referred to HRLO. The case is currently pending.

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11	2013/33	Africa	Fraud and financial irregularity	Fraudulent bidding by vendors (collusion)	Unknown	Vendors referred to VRC.
12	2013/41	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	USD 310 (under suspicion: USD 2,261)	Subject referred to HRLO. S/m charged with misconduct. Contract termination.
13	2013/42	Asia and the Pacific	External compliance (medical fraud)	Consultant orchestrated medical fraud insurance ring	None	Subject referred to HRLO. S/m was informed that he would have been charged with misconduct had he not already been separated from service.
14	2013/44	Asia and the Pacific	Fraud and financial irregularity	Consultant falsified employment record and forged HR Manager's signature.	None	Subject referred to HRLO. S/m was informed that he would have been subject to a two-step reduction had he not already been separated from service.
15	2014/2	Asia and the Pacific	Harassment	Sexual Harassment	None	Subject referred to HRLO. S/m charged with misconduct. Case referred to General Counsel. The case is currently pending.
16	2014/3	Asia and the Pacific	Fraud and financial irregularity & conflict of interest	Consultant worked for and colluded with vendors.	Unknown	CTG terminated s/m.
17	2014/9	Africa	Fraud and financial irregularity	Misuse of project funds for personal use. Alleged collusion with car rental company.	USD 904	Case referred to management
18	2014/10	Africa	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	None (attempted: USD 2,029)	Subject referred to HRLO. S/m was informed that he would have been charged with misconduct had he not already been separated from service.
19	2014/12	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	USD 1,211	Subject referred to HRLO. S/m was informed that he would have been charged with misconduct had he not already been separated from service.
20	2014/13	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	USD 1,685	Subject referred to HRLO. Contract termination.
21	2014/14	North America	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	None (attempted: USD 4,655)	Subject referred to HRLO. The case is currently pending

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22	2014/15	Africa	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	None	Subject referred to HRLO. Allegations involved s/m's conduct while with UNDP. The case is currently pending.
23	2014/16	Asia and the Pacific	Harassment	Sex Assault	None	Subject referred to HRLO. The case is currently pending.
24	2014/19	Asia and the Pacific	Fraud and financial irregularity	Consultants forged signature on UNOPS forms (TEC's).	None	Subject referred to HRLO. Loss of steps.
25	2014/20	Middle East	Fraud and financial irregularity	Consultant manipulated/falsified work data to appear more productive.	None	Subject referred to HRLO. S/m was informed that he would have been charged with misconduct had he not already been separated from service for other reasons.
26	2014/32	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	USD 1,734	Subject referred to HRLO. Contract termination
27	2014/38	Middle East	Harassment/ Abuse of Authority	Consultant sexually assaulted refugees pretending he was a UN doctor.	None	Subject referred to HRLO and national authorities. The case is currently pending.
28	2014/45	Asia and the Pacific	Fraud and financial irregularity	Consultants falsified documents (added items to a requisition form and later falsified the receiving and inspection reports).	None	Subject referred to HRLO. S/m charged with misconduct. The case is currently pending.