

Internal Audit and Investigations Group  
**IAIG Activity Report for 2013**

**Annex 4**

**Reports issued by the Internal Audit and Investigation Group (IAIG) in 2013  
relating to preliminary assessments or investigations which resulted in a finding of misconduct**

<b><u>IAIG Case No.</u></b>	<b><u>Region</u></b>	<b><u>Type of Wrongdoing</u></b>	<b><u>Description</u></b>	<b><u>Estimated Loss to UNOPS</u></b>	<b><u>Action Taken</u></b>
1526	Africa	Fraud and financial irregularity	Submission of false information for visa request	No financial loss	Vendor referred to VRC.
1528	Asia and the Pacific	Fraud and financial irregularity	Fraudulent bidding by vendors	Unclear or unknown	Vendors referred to VRC.
2507	Asia and the Pacific	Fraud and financial irregularity (vendor collusion)	Fraudulent bidding by vendors	Unclear or unknown	Vendors referred to VRC.
2511	Africa	Fraud and financial irregularity	Fraudulent bidding by vendors	Unclear or unknown	Vendors referred to VRC.
2527	Africa	Fraud and financial irregularity	Consultant embezzled funds from project	USD 215,633	Subject referred to HRLO and national authorities; matter under review. Vendor referred to VRC.
2528	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	USD 217	Subject referred to HRLO and matter under review.
2533	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	No financial loss	Subject referred to HRLO, but left before process completed; individual will not be rehired.
2538	Asia and the Pacific	Fraud and financial irregularity	Former consultant steered contracts to several vendors, which were all related to one another	Unclear or unknown	Vendors referred to VRC (former consultant previously referred to HRLO). Vendors sanctioned.
2541	Asia and the Pacific	Fraud and financial irregularity (procurements irregularities)	Former consultant submitted false document and engaged in other corrupt practices to favour vendors in procurement exercises; second consultant had conflict of interest; and gross negligence by staff member facilitated procurement fraud	USD 42,310	Two subjects referred to HRLO and matter under review.

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2546	Asia and the Pacific	External compliance (medical insurance fraud)	Consultant submitted fraudulent medical insurance claims	USD 600	Subject referred to HRLO and matter under review; waiting for response from another UN agency.
2552	Asia and the Pacific	Fraud and financial irregularity (collusion)	Fraudulent bidding by vendors	Unclear or unknown	Vendors referred to VRC.
2013/03	Africa	Harassment	Former staff member used inappropriate language in email	No financial loss	Matter referred to UN Agency where staff member employed.
2013/07	Africa	Fraud and financial irregularity	Consultant forged UN document	No financial loss	Subject referred to HRLO, but resigned before process completed. Subject notified that he would have been charged with misconduct if he had not left Organization.
2013/10	Africa	Fraud and financial irregularity	Consultant misappropriated vehicle, which was later returned	No financial loss	Subject referred to HRLO and contract terminated. Referral to national authorities recommended.
2013/16	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	USD 3,296	Subject referred to HRLO and matter under review.
2013/18	Asia and the Pacific	External compliance (medical fraud)	Consultants submitted fraudulent medical insurance claims	USD 23,636	27 subjects referred to HRLO and matters under review. Referral to national authorities recommended.
2013/24	Asia and the Pacific	External compliance (medical fraud)	Consultant submitted fraudulent medical insurance claims	USD 827.52	Subject referred to HRLO, charged with disciplinary action, and decision pending.
2013/25	Africa	Harassment	Staff member sent harassing Facebook message	No financial loss	Subject referred to HRLO and matter under review.