Internal Audit and Investigations Group
IAIG Activity Report for 2012   **Annex 1**

<u>**Unresolved audit recommendations issued more than 18 months before 31 December 2012**</u>

Functional Area Audit Recommendation (AR) Description	Number of Audit Recommendations
Corporate Strategic Management and Leadership (CSML)	**1**
Ensure appropriate mechanism to monitor 'Declaration of Potential Conflict of Interest' policy by UNOPS personnel	1
Finance	**4**
Align terminology on approving/verifying officer and certifying/committing officer between Financial Regulations and Rules and Internal Control Framework	1
Expedite automation of financial reporting in EUR currency	1
Take further steps to resolve the un-reconciled items by a defined and acceptable target date	2
Human Resources (HR)	**1**
Ensure proper management and monitoring of 'Integrity Training' by personnel	1
Information Technology (ICT)	**1**
Align the ICT Strategic Plan with the new UNOPS business strategic plan 2010-2013	1
Procurement and Supply Chain Practice Group (PSCPG)	**9**
Ensure that the knowledge accumulated on vehicle purchase is captured and disseminated throughout UNOPS	1
Corporate PSCPG should review and define the responsibilities between and within the Transactional Procurement Unit and the Global Procurement Support Unit	1
Ensure clear reporting lines from Procurement Practice Advisors to Regional Procurement Advisors, then in turn to the Director, PSCPG	1
Develop IT tool to allow for better monitoring and oversight over procurement transactions undertaken throughout UNOPS	1
Ensure that agreement with client on fee level modification is properly secured and documented (Transactional Procurement Unit)	1

Functional Area **Audit Recommendation (AR) Description**	**Number of Audit Recommendations**
Establish a central oversight mechanism over supplier background checks performed throughout the Organization	1
Establish mechanism to ensure that supplier performance is done consistently across UNOPS, in line with the procurement manual	1
Define and develop a corporate computerized tool for monitoring and tracking of the entire procurement cycle as defined by the procurement manual and consistent with the Supply Chain Management concept	1
Set up centralized database of complaints at corporate level and a system of return of complaints to corporate PSCPG by field units	1
Grand total	**16**