**Titles of internal audit reports issued in 2019**

**Table 1: Internal audits of field offices**

|  |  |  |
| --- | --- | --- |
| **Report number** | **Title of report** | **Rating**[[1]](#footnote-1) |
| IAIG/9001 | Internal Audit of the Jerusalem Office | Partially satisfactory (some improvement needed) |
| IAIG/9002 | Internal Audit of the Cambodia Operational Hub | Partially satisfactory (major improvement needed) |
| IAIG/9003 | Advisory review of the Ukraine Office | No rating as it was an advisory review |
| IAIG/9004 | Hosted entity review (D-NCD) | No rating as it was a special scope review |
| IAIG/9005 | Internal Audit of the Sustainable Development Cluster | Partially satisfactory (major improvement needed) |
| IAIG/9006 | Internal Audit of the Pakistan Office | Partially satisfactory (major improvement needed) |
| IAIG/9007 | Follow-up Audit of the Democratic Republic of the Congo Operational Hub | No rating as it was a follow-up audit[[2]](#footnote-2) |
| IAIG/9008 | Internal Audit of the Guatemala Project Centre | Partially satisfactory (some improvement needed) |
| **Total** | **8** | |

**Table 2: Thematic reviews**

|  |  |
| --- | --- |
| **Report number** | **Title of report**[[3]](#footnote-3) |
| IAIG/9101 | Review of the global memorandum of understanding with the UN Secretariat |
| IAIG/9102 | Confirmation of UNOPS Investment Balances 31/12/2018 |
| IAIG/9103 | UNOPS Leave Review |
| IAIG/9104 | UNOPS recruitment process review[[4]](#footnote-4) |
| IAIG/9105 | UNOPS ISO 9001 certification: Compliance review |
| IAIG/9106 | Confirmation of UNOPS Investment Balances 30/06/2019 |
| IAIG/9107 | Benchmarking on UNOPS recruitment process[[5]](#footnote-5) |
| IAIG/9108 | Technical audit report of the Pakistan Bridge Repair Project |
| IAIG/9301 | Continuous audit testing report for Q1/2019 |
| IAIG/9401 | Review of the Peace and Security Cluster’s contract management system |
| **Total** | **10** |

**Table 3: Project audits**

|  |  |  |  |
| --- | --- | --- | --- |
| **Report number** | **Title of report** | **Project audit scope and rating** | |
| **Financial statement**[[6]](#footnote-6) | **Internal controls** |
| IAIG/9201 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Liberia"; Project ID 11960-003 | Unmodified | Partially satisfactory (some improvement needed) |
| IAIG/9202 | Audit of project "IDA Immediate Response Mechanism"; Project ID 20275-001 | Unmodified | Satisfactory |
| IAIG/9203 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Dominican Republic"; Project ID 11960-010 | Unmodified | Satisfactory |
| IAIG/9204 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Ecuador"; Project ID 20315-001 | Unmodified | [not in scope] |
| IAIG/9205 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Pakistan"; Project ID 20702-001 | Unmodified | Satisfactory |
| IAIG/9206 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Mauritania"; Project ID 11960-004 | Unmodified | Partially satisfactory (major improvement needed) |
| IAIG/9207 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Comoros"; Project ID 11960-004 | Unmodified | Satisfactory |
| IAIG/9208 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Palau"; Project IDs 93640, 93642 and 10175-006 | Unmodified | Partially satisfactory (some improvement needed) |
| IAIG/9209 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Rwanda"; Project ID 11960-003 | Unmodified | Partially satisfactory (some improvement needed) |
| IAIG/9210 | Audit of project "Support of the Kingdom of Norway to the Ministry of Foreign Affairs of the former Yugoslav Republic of Macedonia"; Project ID 21106-001 | Unmodified | [not in scope] |
| IAIG/9211 | Audit of project "Rural access improvement project Phase IV - RAIP IV"; Project ID 11809-001 | Unmodified | [not in scope] |
| IAIG/9212 | Audit of project "Small Grants Programme (SGP) - Operational Phase 6- Afghanistan"; Project ID 11960-007 | Unmodified | Satisfactory |
| IAIG/9213 | Audit of project "Projet d’appui à la réhabilitation et relance du secteur agricole financement additionnel (PARRSA FA)"; Project ID 21235-001 | Unmodified | Partially satisfactory (some improvement needed) |
| IAIG/9214 | Audit of project "Strengthening humanitarian preparedness and response programme"; Project ID 20135-001 | Unmodified | [not in scope] |
| IAIG/9215 | Audit of project "CAPNET Phase III"; Project ID 75517 | Unmodified | [not in scope] |
| IAIG/9216 | Audit of project "UNDP Water Governance Support Programme"; Project ID 73104 | Unmodified | [not in scope] |
| IAIG/9217 | Audit of project "GFATM-PR-HIV-Myanmar"; Project ID 20864-001-07 | Unmodified | Satisfactory |
| IAIG/9218 | Audit of project "GFATM-PR-RAI2E"; Project ID 20864-003-010 | Unmodified | Satisfactory |
| IAIG/9219 | Audit of project "GFATM-PR-TB-Myanmar"; Project ID 20864-002 | Unmodified | Satisfactory |
| IAIG/9220 | Audit of project "Regional Artemisinin Initiative 2 Elimination RAI2E"; Project ID 20864-003 | Unmodified | Satisfactory |
| IAIG/9221 | Audit of project "UNFCCC CDM Loan Scheme"; Project ID 10588-06 (Award ID 67419) | Unmodified | [not in scope] |
| IAIG/9222 | Audit of project "Improving quality of learning through provision of Sustainable Renewable Energy Solutions (SRES) in primary and middle schools in selected districts of Khyber Pakhtunkhwa Province, Pakistan"; Project ID 20114-001 | Unmodified | [not in scope] |
| IAIG/9223 | Audit of project "Joint Peace Fund (JPF)"; Project ID 97408 | Unmodified | Satisfactory |
| IAIG/9224 | Audit of project "Livelihood and Food Security Trust Fund (LIFT)"; Project ID 70927 | Unmodified | Satisfactory |
| IAIG/9225 | Audit of project "RBM Partnership to End Malaria"; Project IDs 20479-001, 20479-002 and 20479-003 | [not in scope] | Partially satisfactory (some improvement needed) |
| IAIG/9226 | Audit of project "Support for the International Fight against the AIDS Epidemic"; Project ID 11398-001 | Unmodified | [not in scope] |
| IAIG/9227 | Audit of project "School Infrastructure Works in Partnership with World Vision"; Project ID 20269-001 | Unmodified | Satisfactory |
| IAIG/9228 | Audit of project "Modernisation and Improvement of Policing Project in Nepal"; Project ID 93090 | Qualified | Satisfactory |
| IAIG/9501 | Forensic audit of project “Somalia Security Institutions”; Project ID 90883 | [not in scope] | [not in scope] |
| IAIG/9502 | Forensic audit of project "Small Grants Programme (SGP) - Operational Phase 5- Mauritius"; Project ID 10175-001 | [not in scope] | [not in scope] |
| IAIG/9503 | Special Scope Audit of the Roll Back Malaria (RBM) Partnership to end Malaria | [not in scope] | [not in scope] |
| **Total** | **31** |  |  |

1. A detailed explanation of audit ratings of the internal control framework can be found on the [UNOPS external website](https://content.unops.org/documents/libraries/iaig/key-documents/2018/audit-ratings-and-recommendation-priorities/en/Audit-ratings-and-recommendation-priorities.pdf). [↑](#footnote-ref-1)
2. No rating as it was a follow-up audit. However IAIG was satisfied with the progress made by the field office. [↑](#footnote-ref-2)
3. No overall rating is provided for these types of engagements. [↑](#footnote-ref-3)
4. Formal draft report was issued in 2019 and final report was issued in early 2020. [↑](#footnote-ref-4)
5. Formal draft report was issued in 2019 and final report was issued in early 2020. [↑](#footnote-ref-5)
6. A ‘qualified’ audit opinion on the project financial statement is for the purpose of establishing the overall audit opinion considered an ‘unsatisfactory’ audit rating and an ‘unqualified’ audit opinion is considered a ‘satisfactory’ audit rating. [↑](#footnote-ref-6)