

**United Nations Office for Project Services
(UNOPS)**

**Myanmar Health Assistance and Nutrition
Support Project (P508089)
and Additional Financing**

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The United Nations Office for Project Services (UNOPS) (the Recipient) will implement parts of the Myanmar Health Assistance and Nutrition Support Project (the Project), as set out in the Grant Agreement. The International Development Association (the Association) has agreed to provide the financing for the Project (P508089) and the additional financing for the Project (Pxxxxxx), as set out in the referred agreement.
2. From here on, the part of the Project to be implemented by UNOPS under the Project will be defined as “Respective Part of the Project” in this document.
3. The Recipient shall ensure that the Respective Part of the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The extent and mode of the Association’s monitoring with respect to environmental and social performance will be proportionate to the potential environmental and social risks and impacts of the Project. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
4. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Respective Part of the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
5. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING¹			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Respective Part of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.</p>	Submit quarterly reports to the Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than 45 days after the end of each reporting period.	UNOPS
B	<p>INCIDENTS AND ACCIDENTS</p> <p>a) Promptly notify the Association of any incident or accident related to the Respective Parts of the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that results in death, serious or multiple injury to workers or the public; acts of violence, discrimination or protest; forced or child labor; disease outbreaks.</p> <p>For SEA/SH incidents, the notification and any follow up reporting shall be shared with the Association’s corporate Grievance Redress Service (GRS).</p> <p>Notwithstanding the above and associated timeframe, UNOPS will report alleged incidents of SH involving UNOPS Staff² and Non-Staff Personnel³ through its Office of</p>	<p>a) Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>b) Provide the report on SEA/SH incidents to the Association’s GRS within 10 days of the notification, and the report on any other incident or accident to the Association’s task team within 30 days of the notification. Depending on the circumstances, this timeframe may be extended with the written agreement of the Association.</p> <p>c) Upon request, share Contractor’s and Implementing Partner’s notifications and reports to</p>	UNOPS

¹ Information and documents provided to the Association under part B (“Incidents and Accidents”), C (“Contractors’ and Implementing Partners’ Reports”) and 1.3(b) (“Management of Contractors and Implementing Partners”) of this ESCP will be (a) marked as “Information Provided by Member Countries or Third Parties in Confidence” pursuant to the Bank’s Access to Information Policy, and (b) assigned the security classification of “confidential” pursuant to the Bank Directive on Information Classification and Control, i.e., the information will only be: (i) disseminated within the Association on a “need to know” basis; and (ii) shared with third parties on agreement with the Recipient.

² “Staff” means an individual who holds a letter of appointment with the Recipient or is on loan to the Recipient by another UN organization or specialized agency under the terms of the Inter-organization Agreement Concerning Transfer, Secondment or Loan of Staff among the Organizations Applying the United Nations Common System of Salaries and Allowances, it being understood that Staff have the status of “officials” under the General Convention.

³ “Non-Staff Personnel” means an individual, other than Staff, in a contractual relationship with, and under the direct administrative authority of, the Recipient, other than through a letter of appointment under the Staff Rules and Regulations, including Retainers (who have the status of “experts on mission” under the General Convention), interns, UNVs, and stand-by arrangements.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>Internal Audit and Investigations’ annual reports to the UNOPS Executive Board, which are made publicly available.</p> <p>For any other incidents or accidents, the notification and any follow-up reporting shall be shared with the Association’s task team.</p> <p>b) Provide a report to the Association with sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any Contractor⁴ and/or Implementing Partner⁵, as appropriate.</p> <p>c) At the Association’s request, share the Contractor and/or Implementing Partner incident or accident notification and report, redacted to remove Personal Data⁶.</p>	<p>the Association within 7 days following the Association’s request, unless otherwise agreed to with the Association.</p>	
C	<p>CONTRACTORS’ AND IMPLEMENTING PARTNERS’ REPORTS</p> <p>Require Contractors and Implementing Partners to provide regular monitoring reports, at a minimum on a biannual basis, on ESHS performance in accordance with the metrics specified in the respective Terms of Reference and contracts, and at the Association’s request, submit such reports to the Association, redacted to remove Personal Data.</p>	<p>Include this reporting requirement in procurement documents and respective contracts of Contractors and Implementing Partners.</p> <p>Upon request, submit Contractors’ and Implementing Partners’ reports to the Association within 14 days following the Association’s request.</p>	a) UNOPS
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			

⁴ “Contractor” means a legal entity, or an individual other than Staff/ Non-Staff Personnel, with which the Recipient has concluded a Project-related institutional contract.

⁵ Grant Support Project Activities are undertaken by Implementing Partners, which in the UNOPS context include grantees, central-level government entities, intergovernmental organizations and United Nations system organizations.

⁶ “Personal Data” means any information relating to a Data Subject, meaning a natural person who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural, or social identity of that natural person.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a Project Implementation Unit (PIU) with qualified staff and resources to support management of ESHS risks and impacts of the Respective Parts of the Project including E&S Focal Points.</p>	<p>Establish and maintain a PIU as set out in the Grant Agreement. Hire or appoint the environmental and social specialist no later than 30 days after the Project Effective Date and thereafter maintain these positions throughout Project implementation.</p>	UNOPS
1.2	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>1. Adopt and implement an Environmental and Social Management Framework (ESMF) prepared for the Respective Part of the Project, consistent with the relevant ESSs in a manner acceptable to the Association.</p> <p>2. Screen any proposed subprojects for relevant activities in accordance with the ESMF prepared for the Respective Part of the Project. The Screening process will include the screening of the activity against the exclusion list and screening checklist, and thereafter adopt, and implement the measures described in the ESMF and SEP prepared for the Respective Part of the Project.</p>	<p>1. Disclose the ESMF prior to Appraisal and thereafter implement the ESMF throughout Project implementation.</p> <p>2. Throughout Project implementation.</p>	UNOPS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.3	<p>MANAGEMENT OF CONTRACTORS AND IMPLEMENTING PARTNERS</p> <p>a) Incorporate the relevant aspects of the ESCP, including inter alia, the relevant E&S instruments, the Labor Management Procedures (LMP), and the code of conduct, into the ESHS specifications of the procurement documents and contractual arrangements with Contractors and Implementing Partners. Thereafter, ensure that the Contractors and Implementing Partners comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p> <p>b) At the Association’s request, share Project-specific contracts/agreements with Contractors and Implementing Partners with the Association, redacted to remove Personal Data and confidential business information.</p> <p>c) At the Association’s request, share summaries of the Recipient’s assessment of Implementing Partner capacity to implement the ESS for the Project and any capacity enhancement measures.</p> <p>d) At the Association’s request, in relation to an incident or accident reported under Action B, share the Recipient’s full assessment⁷ of Implementation Partner capacity to implement the ESS for the Project and any capacity enhancement measures, provided that in the event the Recipient determines that the Implementation Partner(s) reasonably objects to such sharing, a meeting between the Association, the Recipient and the Implementing Partner shall be organized.</p>	<p>a) As part of the preparation of procurement documents and respective contracts. Supervise Contractors and Implementing Partners throughout Project implementation.</p> <p>b) Share with the Association within 14 days of the Association’s request, unless otherwise agreed to with the Association.</p> <p>c) Share with the Association within 14 days of the Association’s request, unless otherwise agreed to with the Association.</p> <p>d) Share with the Association within 14 days of the Association’s request, unless otherwise agreed to with the Association. With the understanding that any meeting to take place within these 14 days, unless agreed otherwise.</p>	UNOPS
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Adopt and implement the Labor Management Procedures (LMP), as part of the ESMF, for the Respective Part of the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, UXO/landmine risks, grievance arrangements for Project workers, and applicable requirements for Contractors, subcontractors, and Implementing Partners.</p>	Adopt the LMP as part of the ESMF as per 1.2. and thereafter implement the LMP throughout Project implementation.	UNOPS

⁷ A full assessment would typically be the micro assessment, PSEA assessment and other relevant technical assessments/observations that are generated from time-to-time during the lifecycle of the Project.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Establish and operationalize the grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	UNOPS
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	MANAGEMENT OF HEALTHCARE WASTE Adopt and implement the Medical Waste Management Plan (MWMP) as part of the ESMF, to manage hazardous and non-hazardous medical wastes, consistent with ESS3.	Adopt the plan as part of the ESMF , and thereafter implement the MWMP throughout Project implementation.	UNOPS
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	COMMUNITY HEALTH AND SAFETY Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, conflict and security risks including UXOs/landmines, SEA/SH risks, and include mitigation measures in the ESMF.	Adopt relevant mitigation measures in the ESMF under 1.2. . Once adopted, implement these throughout P roject implementation.	UNOPS
4.2	SEA AND SH RISKS Adopt and implement a SEA/SH Action Plan, as part of the ESMF, to assess and manage the risks of SEA and SH.	Adopt SEA/SH Action Plan as part of the ESMF under 1.2 and implement it throughout Project implementation.	UNOPS
4.3	SECURITY MANAGEMENT Assess and implement measures to manage the security risks of the Respective Part of the Project consistent with the UNSMS policy framework ⁸ and the ESSs, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities as set out in the Security Management Plan in the ESMF.	Adopt as part of the ESMF under 1.2 and implement throughout Project implementation.	UNOPS
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT - This ESS is currently not applicable.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES – This ESS is currently not applicable			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	INDIGENOUS PEOPLES		UNOPS

⁸ The United Nations Security Management System (UNSMS) is designed to enable the safe delivery of UN mandates and programmes within acceptable security risk levels. For additional details, please refer to <https://www.un.org/en/safety-and-security/unsms-and-iasmn>. Saving Lives Together (SLT), is a series of recommendations aimed at enhancing security collaboration between the United Nations, International Non-Governmental Organisations and International Organisations to which the World Bank and UNOPS are party. The Recipient’s activities are governed by the UNSMS, which, through designated officials in collaboration with Heads of UN Offices, ensures the security of their premises and staff.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	The Respective Part of the Project shall be carried out in accordance with the applicable requirements of ESS7, including, inter alia: (i) ensuring that the Stakeholder Engagement Plan (SEP) includes meaningful consultations with indigenous peoples throughout Project implementation; (ii) implementing procedures, protocols and/or other measures to ensure that indigenous peoples have access to Project benefits in an fair, equitable, inclusive and culturally appropriate manner, as set out in the ESMF and the SEP; and (iii) implementing measures to ensure that indigenous peoples are able to access Respective Part of the Project’s grievance mechanism in a culturally appropriate manner.	Adopt as part of the ESMF under 1.2 and implement throughout Project implementation.	
ESS 8: CULTURAL HERITAGE - this ESS is currently not applicable			
ESS 9: FINANCIAL INTERMEDIARIES - This ESS is currently not applicable.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Adopt and implement a Stakeholder Engagement Plan (SEP) for the Respective Part of the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Adopt the SEP prior to Appraisal. The SEP shall be updated (as needed) and implemented throughout Project implementation.	UNOPS
10.2	PROJECT GRIEVANCE MECHANISM Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	Establish the grievance mechanism upon effectiveness and thereafter maintain and operate the mechanism throughout Project implementation.	UNOPS

CAPACITY SUPPORT

CS1	CAPACITY BUILDING PLAN/MEASURES Prepare and implement the following capacity building measures, training for PMU staff, project workers, contractors and Implementing Partners:		UNOPS
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	<ul style="list-style-type: none"> ● stakeholder mapping and engagement ● WB ESF requirements, and UN agency ES policies ● Specific aspects of environmental and social assessment and management, including Medical Waste Management Plan (MWMP) ● Implementation of ESMF including screening checklists and ESMPs. ● community health and safety ● GRM ● incident and accident reporting ● LMP including code of conducts ● SEA/SH and grievances ● Security risk management ● Regular ESHS reporting requirements ● Third Party Monitoring 	<p>Adopt as part of the ESMF, under 1.2 above, deliver before commencement of relevant activities under the Respective Part of the Project, and implement throughout Project implementation.</p> <p>Provide evidence of ES training delivered to Project workers, contractors and implementing Partners and report to Association as part of quarterly monitoring reports as set out in Section A of this ESCP.</p>	
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